

Anticipated Classification  
of this Application:  
Class \_\_\_\_\_ Subclass \_\_\_\_\_

06-02-00  
Prior Application:  
Examiner S. McGarry  
Group Art Unit 1635

Attorney's  
Docket  
No. 50995-B/JPW/EMW

June 1, 2000

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

06/01/00  
JC822 U.S. PTO  
09/585023  
06/01/00

R:

This is a request for filing a X CONTINUATION  
DIVISIONAL \_\_\_\_\_ CONTINUATION-IN-PART application under  
X 37 C.F.R. §1.53(b) \_\_\_\_\_ 37 C.F.R. §1.53(d), of pending prior application  
Serial No. 08/654,482 filed on May 28, 1996 of

Riccardo Dalla-Favera

Inventor(s) \_\_\_\_\_ for

IDENTIFICATION OF GENES ALTERED IN MULTIPLE MYELOMA

Title of Invention \_\_\_\_\_

1. X Enclosed is a copy of the prior application, as originally  
filed and an affidavit or declaration verifying it as a  
true copy.
2. X A verified statement to establish small entity status under  
37 C.F.R. §1.9 and 1.27  
\_\_\_\_\_ is enclosed.  
X was filed in the prior application and such status  
is still proper and desired (37 C.F.R. §1.28(a)).
3. X The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	7 -20	=	0	X	\$ 9.00	\$18.00	= \$ 0	\$
Independent Claims	2 -3	=	0	X	\$39.00	\$78.00	= \$ 0	\$
Multiple Dependent Claims Presented: _____ Yes <u>X</u> No					\$130.00	\$260.00	= \$ 0	\$
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE		\$ 345	\$ 690
					TOTAL FEE		\$ 345.00	\$

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4. X The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.
- X Any additional filing fees required under 37 C.F.R. §1.16.
- X Any patent application processing fees under 37 C.F.R. §1.17.
- The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
5. X Three copies of this sheet are enclosed.
6. X A check in the amount of \$ 345.00 is enclosed.
7.        Cancel claims       .
8.        Amend the specification by inserting before the first line the sentence: --This is a        continuation        division of application Serial No.       , filed       .--
9. X 21 Sheet(s) of        informal X formal drawing(s) is/are enclosed.
10.        Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
11.        Priority of application No.        filed on        in        (country) is claimed under 37 U.S.C. §119.
- The certified copy of the priority application has been filed in prior application Serial No.       , filed       .
12. X The prior application is assigned of record to The Trustees of Columbia University in the City of New York. (a copy of the Assignment is attached)
13. X A preliminary amendment is enclosed.
14. X The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Thomas F. Moran (Reg. No. 16,579); Norman H. Zivin (Reg. No. 25,385); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Albert Wai-Kit Chan (Reg. No. 36,479); Kristina L. Konstas (Reg. No. 37,864); Mary Anne P. Tanner (Reg. No. 40,197); Timothy X. Witkowski (Reg. No. 40,232); and Mary Catherine DiNunzio (Reg. No. 37,306)

Applicants: Riccardo Dalla Bernardina  
U.S. Serial No.: Not Yet Known  
Filed: Herewith  
Cont/Div.  
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- (a)   X   The power appears in the original papers in the prior application. (a copy of the Declaration is attached)
- (b)        Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c)   X   Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

John P. White

Cooper & Dunham LLP

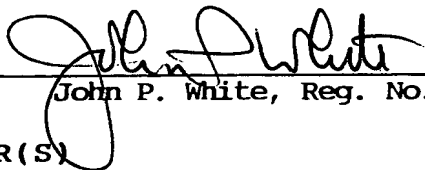
1185 Avenue of the Americas

New York, New York 10036

15.   X   Also enclosed Express Mail Certificate of Mailing No. EJ 807 507 669 US, a loose set of loose figures, Statement in Accordance and a computer diskette of Sequence Listing.
16.   X   I hereby verify that the attached papers are a true copy of prior application Serial No. 08/654,482 as originally filed on May 28, 1996.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

June 1, 2000  
Date

  
Signature John P. White, Reg. No. 28,678

       INVENTOR(S)  
       ASSIGNEE OF COMPLETE INTEREST  
  X   ATTORNEY OR AGENT OF RECORD  
       FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Riccardo Dalla-Favera  
U.S. Serial No.: Not Yet Known (Continuation of U.S. Serial  
No. 08/654,482, filed May 28, 1996)  
Filed : Herewith  
For : IDENTIFICATION OF GENES ALTERED IN MULTIPLE  
MYELOMA



1185 Avenue of the Americas  
New York, New York 10036  
June 1, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231

Box: Patent Application

**EXPRESS MAIL CERTIFICATE OF MAILING**  
**FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" mailing label number: EJ 807 507 669 US  
Date of Deposit: June 1, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Printed Name: Jamel Rha 65

Respectfully submitted,

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